



UNODC

United Nations Office on Drugs and Crime

CONFERENCE OF THE STATES PARTIES REVIEW MECHANISM

DRAFT - BLUE PRINT

REVIEW REPORT COUNTRY X

Review Cycle [...]

*Review of the Implementation of Articles [...] of the United Nations
Convention against Corruption*

Reviewing Countries: Y and Z

A. Introduction

1. Article 63 of the United Nations Convention against Corruption (UNCAC)¹ establishes a Conference of the States Parties with a mandate to, inter alia, promote and review the implementation of the Convention.
2. In accordance with article 63 paragraph 7 of the Convention, the Conference has established at its third session, held in Qatar in November 2009, the Mechanism for the Review of Implementation of the United Nations Convention against Corruption. The Mechanism was established pursuant to article 4, paragraph 1, of the Convention against Corruption which states that States parties shall carry out their obligations under the Convention in a manner consistent with the principles of sovereign equality and territorial integrity of States and non-intervention in the domestic affairs of other States.
3. The Review Mechanism, of which this report forms part of, is an intergovernmental process with the overall goal being to assist States Parties in their implementation of the United Nations Convention against Corruption.
4. The Review Mechanism is based on a range of guiding principles enshrined in Conference of States Parties resolutions 1/1 and 2/1. In order to achieve its goal, the Mechanism uses a combined self-assessment / peer / group review method for reviewing the implementation of the Convention.
5. Each State party shall be reviewed by two other States parties. The review process shall actively involve the reviewed State. As an initial step, each State party shall provide to the secretariat the information required by the Conference on its compliance with and implementation of the Convention, using the self-assessment checklist developed by the Secretariat.
6. The review team shall carry out a desk review of the checklist response of the State party under review. Such desk review shall entail a [preliminary] analysis of the response focused on the identification of normative gaps, as well as technical assistance and capacity-building needs.
7. Throughout the review process, members of the review team engage in a constructive dialogue, discussing preliminary findings and requesting clarifications or additional information or address supplementary questions related to the review. This is being done by way of conference calls, videoconferences and e-mail exchanges, as required and facilitated by the secretariat.
8. All States Parties are subject to the review through the Mechanism. The Mechanism gradually covers the implementation of the entire Convention. Phases and cycles of the review process, as well as the scope, thematic sequence and details of such review, have been established by the Conference, together with each review cycle and the number of States parties participating in each year of the review cycle.
9. The following countries are participating in the current year of the review cycle ...
10. The scope of review is articles [...].

B. Process

11. The following review of country X's implementation of the United Nations Convention against Corruption is based on the self assessment report received from country X, the outcome of the

¹ United Nations, *Treaty Series*, vol. 2349, No. 42146.

constructive dialogue between the experts from ..., through (list means of dialogue, i.e. telephone video conferences, e-mail, meetings etc.) ... (including names of experts involved).
[A country visit was conducted from ... to ...]

12. The action plan contained in annex A is based on this constructive dialogue, [as well as a country visit on ...].

C. Executive summary

13. Brief summary of the following:

- (i) Findings and observations on the implementation of articles [...] of UNCAC by country X.
- (ii) Identification of successful experiences and good practices.
- (iii) Identification of implementation gaps, where applicable.
- (iv) Recommendations on ways and means and actions necessary to remedy such gaps.
- (v) Priorities and actions identified by Country X to improve implementation of the Convention and technical assistance needs for that purpose.

D. Implementation of the United Nations Convention against Corruption

1. Ratification of the Convention

14. The Convention was signed on X, ratified by _____ on X, and signed by the _____ on X. _____ deposited its ratification instrument with the Secretary General of the United Nations on X.

15. The implementing legislation, the "Act Ratifying the UNCAC" (below referred to as Act X), was passed by Parliament on X, came into force on X, and was published in Official Gazette No. X of that date. The implementing legislation [provide brief summary of ratification legislation and overview of methods used to implement UNCAC.]

2. The legal system of country X

16. Article X, of the _____ Constitution states that [discuss whether treaties are self-executing, require implementing legislation, where UNCAC fits into hierarchy of law, etc.]

3. Review of implementation of selected articles

3.1. Article X

"Text of the article".

Reference to the relevant part of the legislative guide on the UNCAC will be made here. In addition, the guiding notes for experts will include an annex containing substantive information on the main requirements of the articles under review in the cycle.

a. Summary of information relevant to reviewing the implementation of this article

17. Information provided by the country under review through the self assessment checklist and in the context of the constructive dialogue will be included here, as well as information available from other existing anti-corruption review mechanisms in which the State party under review participates may be considered.

b. Findings and observations on the implementation of article X by Country X

18. This section will include the findings of the review team with regard to the implementation of the article. Depending on the scope of the review cycle, it will include findings with respect to the domestication of the article in national law, as well as its implementation in practice.

19. The section will include findings on the status of implementation of this article, including successful implementation as well as implementation gaps.

c. Successful experiences and good practices

20. The section will highlight successful experiences and good practices in implementing the article identified in country X, where applicable.

d. Recommendations

21. Where gaps have been identified, the report will contain a part including recommendations on ways and means and actions necessary to remedy such gaps.

e. Priorities and actions identified by Country X

22. Where applicable, the report will include a component on priorities and actions identified by the country under review to improve implementation of the Convention and technical assistance needs for that purpose.

Annex:

Possible Action Plan formulated on the basis of the findings and recommendations of the country review report and the priorities and technical assistance needs identified.

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